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Research: You Might Get STD Through Swimming in the Arctic Ocean, Here's How



Sexually-transmitted diseases or STDs can now be transferred to a person even without having sexual intercourse. Worse, the research found out that it can also be acquired through simply swimming in an ocean. How can this be possible?

How do you get STD? By swimming in the Arctic ocean, apparently



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Fighting! Zuckerberg to Quadruple Bay Area's Testing and Partnered with Gates to Back Disease Tracker

Coronavirus Update: COVID-19 is Now Mutating Into Another Virus in Brazil

Collapsed Coronavirus Quarantined Hotel: Social Media Asks "Could this be China's Way of Trying to Bury Failed Recovery Patients?"

Be Afraid, Your Secrets Are NOT safe! Anonymous Secret Sharing App Whisper

Phylogenetic Analysis

The Point

Use the relationships among one or (ideally) many **homologous characters** to reconstruct an evolutionary tree

Often means aligned sequences (with homologous residues in columns)





Haeckel, 1874 "Pedigree of Man"



Fox and Woese (1977) and many, many others



Eme et al (2017) Nat Rev Microbiol

Nature Reviews | Microbiology







The tree of life is a network Doolittle (1999) *Sci Am*



Bacterial evolution is a mess of a network Dagan et al.(2008) *PNAS*

The problem

- How to build trees properly is not necessarily obvious, and depends on a large number of factors
- **Modeling** sequence similarity is challenging evolution deals us a confusing hand
- **Searching** tree space can be a nightmare (again, exact vs. heuristic approaches)
- Many problems in evolution cannot be effectively represented using trees

Phylogenetics is multidisciplinary

- First tree Chemist (Linus Pauling)
- 1950s Physicist (Margaret Dayhoff)
- 1960s Statisticians
- 1970s Computer Scientists
- Throughout Biologists

- Lots of redundant terminology!

Tree Definitions

Tree Anatomy



Trees can be described using the same terminology as graphs

Tree Anatomy



We distinguish between **internal** and **terminal** features

Tree Anatomy



Some terms are used interchangeably

Rooted vs Unrooted Trees



Most methods (including parsimony) generate **unrooted** trees

Tree splits (bipartitions)



(ABC | DEF)

Splits are *compatible* if they can appear in the same tree

Multifurcating Nodes



We may *collapse* a node in the tree for one of two reasons:

'Hard' polytomy (really a 3-way split)
Lack of statistical support for any pairwise grouping

Most phylogenetic methods produce only **binary** trees (but you can roll back relationships that lack support)

Branch lengths



0.1

What (if anything) do branch lengths represent?

- Time?
- Sequence change?

Some methods (notably parsimony) do not produce meaningful branch lengths



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Tree Shape

In general (and for the purposes of this course), the *shape* of a tree refers to its branching order, **not** to branch lengths

So the two trees on the left have the same shape

Shape can be described completely using a **split decomposition** of the tree

Nextstrain Intermission



But nucleotides and amino acids are not the only type of character that can be compared!



Words as homologous characters

Language trees support the express-train sequence of Austronesian expansion

Nature, 2000

Russell D. Gray & Fiona M. Jordan

Department of Psychology, University of Auckland, Auckland 92019, New Zealand

Meaning	Tonga	Nine	e Samoa	F Ilvea	E Eutuna	Mangareva	Marquesas	Hawaii	Tahiti	Tuamotu	Barotonga
Canoe	vaka	vaka	va'a	vaka	vaka	vaka	vaka	wa'a	va'a	vaka	vaka
Two	ua	ua	lua	lua	lua	rua	'ua	lua	rua	rua	rua
Five	nima	lima	lima	nima	lima	rima	'ima	lima	rima	rima	rima
Woman Rainbow	fefine 'umata	fifine tangaloa	fafine nuanua	fafine nuanua	fatine nuanua	ahine anuanua	aanuanua	wahine aanuenue	vahine aanuanua	vahiine anuanua	aanuanua

No collinearity constraint (but who cares?)

Island	Canoe
Tonga	Vaka
Niue	Vaka
Rarotonga	Vaka
Marquesas	Vaka
Hawai'i	Wa'a
Tahiti	Va'a
Samoa	Va'a
NZ	Waka

Character Convexity

Choose a tree at random (for now)

A character is *convex* on that tree if all states of that character can be partitioned to a separate 'region' of the tree

Think of it as a coloring problem!

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What does convexity mean?

If we have n states (waka, vaka, etc.) for a given character, then we only need the minimum possible n – 1 state changes within the tree



• The is the *most parsimonious* (simplest) situation

Character Compatibility

Island	Canoe	Two
Tonga	Vaka	Ua
Niue	Vaka	Ua
Rarotonga	Vaka	Rua
Marquesas	Vaka	'ua
Hawai'i	Wa'a	Lua
Tahiti	Va'a	Rua
Samoa	Va'a	Lua
NZ	Waka	Rua

Two characters (words, alignment columns, etc.) are *compatible* if there exists at least one tree where both characters are convex



What is the "best" tree?

• Is it the maximum compatibility tree that maximizes the number of convex characters from the set C of characters?

maybe...but usually not

 What we typically want is the tree that minimizes the number of substitutions over all characters – this is the maximum parsimony tree

Parsimony Score

 The parsimony score (p) for a given character on a given tree T is the <u>minimum</u> number of changes needed to map character states onto leaves of the tree

• How do we find this minimum for a single character?

Fitch-Hartigan algorithm



One character, three states

Introduce an arbitrary root to the tree if unrooted



of changes p = 0 and the set of



Mapping to internal vertices V:

f(V) is the maximum number of immediate children that contain any **particular** character state

 \rightarrow best guess for internal states

 ψ is the character or characters that cover f(V) children \rightarrow equally good internal state guesses

p is equal to (p of all children) + (number of children) – f(V) \rightarrow number of required changes so far

Total Parsimony Score

(for a given tree)



The maximum parsimony tree is the tree that minimizes p_{τ}

Note that it does **not** explicitly count convex characters! They simply contribute the <u>minimum possible changes</u> given the number of states they contain

How well do the characters fit the tree?

We can use the **consistency index**

$$CI_{character} = m / s$$

Where m is the minimum number of steps (= number of character states – 1) And s is the actual number of steps (≥ m), from the F-H algorithm

 $0.0 < Cl \le 1.0$

Maximum Parsimony

- There is no closed-form solution to find T such that p_{τ} is minimal
- We must carry out a search through *tree space*
 typically use a random starting tree T₀ and
 explore by permuting this tree

• Search strategies coming up next class!

Tree Searching

- 1. Choose a random starting tree T_0
- 2. $n \leftarrow 0$ (this is the iteration number)
- 3. Compute p_{TO}
- 4. While (patience remains)
 - 1. Permute T
 - 2. $T_{n+1} = \operatorname{argmin}_{p}(T_{n}, \text{ permuted } T_{n})$
 - 3. n ← n+1
- 5. Output T_n

Problem

• There are a lot of trees!

• For *n* leaves, there are

1 x 3 x 5 x ... x (2n - 3) rooted, bifurcating trees

$$n_T = \frac{(2n-3)!}{2^{n-2}(n-2)!}$$

 $20 \text{ leaves} \rightarrow 8,200,794,532,637,891,559,375 trees$

39

Branch-and-Bound

One way to restrict the search space is to explore it systematically, but identify and stop unproductive search paths

Species	Character						
	1	2	3	4	5	6	
А	1	0	0	1	1	0	
В	0	0	1	0	0	0	
С	1	1	0	0	0	0	
D	1	1	0	1	1	1	
E	0	0	1	1	1	0	





Tree building procedure



Number of substitutions required 41

Back to Polynesia

• Hypotheses about Polynesian expansion

• What are the predictions of these two models?

Entangled

Bank



Predictions



- Express train: strong tree-like signal, congruent with geography. High Cl (assuming enough time for language to evolve)
- Entangled bank: weaker signals, lots of sharing (travel / cultural exchange). Low CI





Analysis

- 77 Austronesian languages
- 5185 terms (no equivalent to NCBI!)



Express train model – 77 languages grouped into 10 categories (archaeological 'stations')



Mininum number of transitions: 9(=10-1)

A total of **13** steps is needed to reconcile the 10 character states with the recovered tree (close to optimal)

CI = 9/13 = 0.69

What does a CI of 0.69 mean?

We can compare it to the CI of **random** trees to see whether the fit is better than expected

Randomized trees: Average of **49** steps (CI = 9/49 = 0.053)

So there is **significant** tree-like signal, and the *shape* of the tree is consistent with express-train predictions

Untangling Oceanic settlement: the edge of the knowable

Matthew E. Hurles¹, Elizabeth Matisoo-Smith^{2,3}, Russell D. Gray⁴ and David Penny^{3,5}



Significant signals that conflict with the canonical tree

Problems with Parsimony

Not all alignment sites are informative

 Unless it can assign different scores to different trees, a given alignment column is not parsimoniously informative

Favours ((1,2),(3,4)) over ((1,3),(2,4)) and ((1,4),(2,3))

Other sites say nothing!

Parsimony treats all changes equally

 Parsimony is "model-free", so there is no distinction between frequent and infrequent changes



Long Branch Attraction

 Branches that accumulate many changes (e.g. parasites, mice) will share many homoplasies, and appear to be more similar than they really are



Parsimony: Summary

- Relatively easy (though potentially time-consuming) to use and understand
- The basic principle (the simplest explanation is the best) is attractive but not necessarily correct
- The lack of an explicit model can be an *advantage* or a serious *disadvantage*
- Throwing away uninformative alignment columns is not necessarily ideal